

10/518595

2 Rec'd PCT/PTO 21 DEC 2004  
SHEET 1 OF 1Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

260501US0PCT

SERIAL NO.

New U.S. PCT Application  
Based on PCT/EP03/08428

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Norbert STEINER, et al.

FILING DATE

Herewith

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PAH	AA	3 929 739	12/30/75	BARABAS, Eugene S. et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
PAH	AO	0 649 820	04/26/95	EP		NO
PAH	AP	0 165 770	12/27/85	EP		NO
PAH	AQ	2 390 983	12/15/78	FR		NO
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

P.A. HEUSKOC

Date Considered

1/30/07

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 260501US0PCT		SERIAL NO. 10/518,595	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Norbert STEINER, et al.			
				FILING DATE December 21, 2004		GROUP 1724	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PAK	AA	2,831,841	04/22/1958	Giffin D. JONES, et al.			
	AB						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
PAK	AC	DE 197 52 128 A1	07/29/1999	Germany			X
	AD	DE 197 52 127 A1	07/29/1999	Germany			X
	AE	DE 197 48 153 A1	05/06/1999	Germany			X
	AF	DE 197 04 953 A1	08/13/1998	Germany			X
	AG	DE 100 41 393 A1	03/07/2002	Germany			X
	AH	DE 100 41 392 A1	03/07/2002	Germany			X
	AI	DE 41 38 763 A1	05/27/1993	Germany			X
	AJ	DE 40 24 207 A1	02/06/1992	Germany			X
	AK	DE 38 00 199 A1	07/20/1989	Germany			X
	AL	DE 37 27 229 A1	03/10/1988	Germany			X
	AM	DE 30 46 978 A1	09/17/1981	Germany			X
	AN	DE 28 23 830 A1	01/11/1979	Germany			X
	AO	DE 25 08 346 A1	08/28/1975	Germany			X
PAK	AP	WO 02/06097 A1	01/24/2002	WIPO (With English Abstract)			X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
PAK	AQ	Ernst BARTHOLOME, et al., "Ullmanns Encyklopädie der technischen Chemie", Verlag Chemie, Pages 15-16					
	AR	Heinz HORACEK, "Fette und Öle Fettderivate Folgeprodukte", Fett-, Öl-, Tensid-, Kosmetik- und Pharmaindustrie, July, 1988, Vol. 114, 11/12, Pages 447-455					
	AS	H. TAKIGAMI, et al., "Toxicity assays and their evaluation on organic polymer flocculants used for municipal sludge dewatering", Water Science and Technology, 1998, Vol. 38, No. 7, 1 Page					
	AT	V. N. SYUCKIN, et al., "New ecologically safe "flocculants" – cationic polyelectrolytes", Khimiya Rastitel'nykh Syr'ya, 136:218483, 2000, Vol. (2), 4 Pages					
	AU	Kumio FUJIWARA, et al., "Synthetic organic "polymer" "flocculants" and monomer removal from the "flocculants" for water treatment", Jpn. Kokai Tokyo Koho, 133:22112, 1 Page					
	AV	M. ALBASIAID, et al., "Ultrastructural and clinionpathological studies on the "toxicity" of cationic "acrylamide" based flocculant to rainbow trout", Veterinary Pathology, 106:133214, 1987, Vol. 24 (1), 1 Page					
	AW	Yu L. VEITSER, et al., "Polymer" for "flocculation" of wastewater sediments", U.S.S.R., Orkrytiya, Izabret, 105:7067, Vol. (47), 1 Page					
	AX	Toru KUBOI, et al., "Toxicity" of cationic "polymer" "flocculants" to higher plants, I. Seedling assay", Soil Science and Plant Nutrition (Tokyo, Japan), 101:224294, 1984, Vol. 30 (3), 1 Page					
	AY	D. MOURATO, et al., "Effect of polyelectrolytes used as "flocculants" on microorganisms present in receiving streams", Sciences et Techniques de l'Eau, 100:108802, 1983, Vol. 16 (4), 1 Page					
	AZ	Toru KUBOI, et al., "Effect of synthetic "flocculants" on soil environment. I. Effect of polyacrylamide derivatives (synthetic "flocculants") on growth of turnip seedlings and of green alga", Kokuritsu Kogai Kenkyusho Kenkyu Hokoku, 94:151324, 1980, Vol. 14, 1 Page					
	AAA	S. NOVAKOVA, et al., "Study of the "toxic" action of new "flocculants" obtained under new pilot-plant conditions", Khiglena i Zdraveopazvane, 86:166013, 1976, Vol. 19 (5), 1 Page					
	AAB	G. PAULET, et al., "Toxicity" of some acrylic and methacrylic esters of "acrylanxide" and polyacrylamides", Archives des Maladies Professionnelles de Medecine du Travail et de Securite Sociale, 83:91832, 1975, Vol. 36 (1-2), 1 Page					
PAK	AAC	D. D. McCOLLISTER, et al., "Toxicologic" investigations of polyacrylamides", Toxicol. Appl. Pharmacol., 63:92536, 1985, Vol. 7 (5), 2 Pages					
						<input type="checkbox"/> Additional References sheet(s) attached	
Examiner P.A. PRASKOC				Date Considered 1/30/07			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							